ICMA

FMFC Exam

ICMA Financial Markets Foundation Exam

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Question: 1

Which of the following is not one of the so-called 3 Pillars of the European Basel regulations?

A. Liquidity and capital requirements
B. Risk management procedures
C. Supervisory reviews
D. Risk analysis and market discipline

Answer: B

Question: 2

Which of the equity exchanges operates a quote-driven model?

A. NYSE
B. NASDAQ
C. LSE
D. Euronext

Answer: B

Question: 3

One of the most contentious parts of the US Dodd-Franks Act is the restrictions placed on banks carrying trading positions. What is the name of the rule that deals with this?

A. Bernanke Rule
B. Greenspan Rule
C. Yellan Rule
D. VolckerRule

Answer: D

Question: 4

An EUR-denominated Eurobond matures on 15 January 2017 and pays an annual coupon of 5% on an act/act basis. The bond is trading at a yield to maturity of 6% for settlement on 10 March 2015. Which of the following ststements is true of its clean price?

A. Its price will be above 100
B. Its price will be below 100
C. Its price will be 100

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D. Need more information

Answer: B

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